

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Masato KOIKE et al.

Serial No.: 09/642,883 (unofficial)

Filed: August 22, 2000

Attn: Applications Division

For: PLANE DIFFRACTION GRATING BASED ON SURFACE NORMAL ROTATION
AND ITS APPLICATION TO AN OPTICAL SYSTEM

RESPONSE TO NOTICE TO FILE MISSING PARTS

Director of Patents and Trademarks
Washington, D.C. 20231

Date: November 21, 2000

Dear Sir:

In response to the Notice to File Missing Parts of Application dated October 5, 2000, enclosed herewith for filing is an executed Declaration and Power of Attorney for the above-identified patent application.

The undersigned attorney hereby states that the specification, claims and drawings attached to the Declaration constitute a copy of the application as filed in the Patent and Trademark Office on August 22, 2000.

Also enclosed is our check in the amount of \$880.00 for payment of the government filing fee of \$710.00, \$40.00 for recordation of Assignment and \$130.00 for the surcharge fee. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

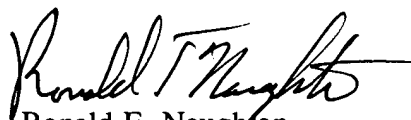


Secret
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In the event that this paper is not timely filed applicant hereby petitions for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340. A duplicate copy of this paper is enclosed herewith.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,
MCLELAND & NAUGHTON

A handwritten signature in black ink, appearing to read "Ronald F. Naughton", with a stylized, flowing script.

Ronald F. Naughton
Attorney for Applicant
Reg. No. 24,616

Atty. Docket No. 001035
1725 K Street, N.W., Suite 1000
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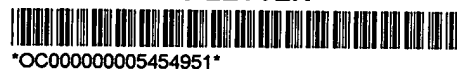
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/642,883	08/22/2000	Masato Koike	001035

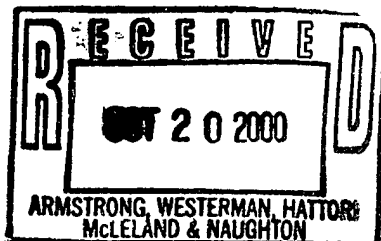
Armstrong Westerman & Hattori
McLeland & Naughton
Suite 1000
1725 K Street NW
Washington, DC 20006

FORMALITIES LETTER



OC00000005454951

Date Mailed: 10/05/2000



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/22/2000 HH00R1 00000021 09642883 710.00 IP
01 FC:101 130.00 IP
02 FC:105